



# **AMENDED GENERAL INFORMATION SHEET (GIS)**

FOR THE YEAR 2020

## **STOCK CORPORATION**

### **GENERAL INSTRUCTIONS:**

1. FOR USER CORPORATION: THIS GIS SHOULD BE SUBMITTED WITHIN THIRTY (30) CALENDAR DAYS FROM THE DATE OF THE ANNUAL STOCKHOLDERS' MEETING. **DO NOT LEAVE ANY ITEM BLANK.** WRITE "N.A." IF THE INFORMATION REQUIRED IS NOT APPLICABLE TO THE CORPORATION OR "NONE" IF THE INFORMATION IS NON-EXISTENT. IF THE ANNUAL STOCKHOLDERS' MEETING IS HELD ON A DATE OTHER THAN THAT STATED IN THE BY-LAWS, THE GIS SHALL BE SUBMITTED WITHIN THIRTY (30) CALENDAR DAYS AFTER THE ELECTION OF THE DIRECTORS, TRUSTEES AND OFFICERS OF THE CORPORATION AT THE ANNUAL MEMBERS' MEETING.
2. IF NO MEETING IS HELD, THE CORPORATION SHALL SUBMIT THE GIS NOT LATER THAN JANUARY 30 OF THE FOLLOWING YEAR. HOWEVER, SHOULD AN ANNUAL STOCKHOLDERS' MEETING BE HELD THEREAFTER, A NEW GIS SHALL BE SUBMITTED/FILED.
3. THIS GIS SHALL BE ACCOMPLISHED IN ENGLISH AND CERTIFIED AND SWORN TO BY THE **CORPORATE SECRETARY** OF THE CORPORATION.
4. THE SEC SHOULD BE TIMELY APPRISED OF RELEVANT CHANGES IN THE SUBMITTED INFORMATION AS THEY ARISE. FOR CHANGES RESULTING FROM ACTIONS THAT AROSE BETWEEN THE ANNUAL MEETINGS, THE CORPORATION SHALL SUBMIT AMENDED GIS CONTAINING THE NEW INFORMATION TOGETHER WITH A COVER LETTER SIGNED THE CORPORATE SECRETARY OF THE CORPORATION. THE AMENDED GIS AND COVER LETTER SHALL BE SUBMITTED WITHIN SEVEN (7) DAYS AFTER SUCH CHANGE OCCURED OR BECAME EFFECTIVE.
5. SUBMIT FOUR (4) COPIES OF THE GIS TO THE RECEIVING SECTION AT THE SEC MAIN OFFICE, OR TO SEC SATELLITE OFFICES OR EXTENSION OFFICES. ALL COPIES SHALL UNIFORMLY BE ON A4 OR LETTER-SIZED PAPER. THE PAGES OF ALL COPIES SHALL USE ONLY ONE SIDE
6. **ONLY THE GIS ACCOMPLISHED IN ACCORDANCE WITH THESE INSTRUCTIONS SHALL BE CONSIDERED AS HAVING BEEN FILED.**
7. THIS GIS MAY BE USED AS EVIDENCE AGAINST THE CORPORATION AND ITS RESPONSIBLE DIRECTORS/OFFICERS FOR ANY VIOLATION OF EXISTING LAWS, RULES AND REGULATIONS

===== PLEASE PRINT LEGIBLY =====

<b>CORPORATE NAME:</b>		<b>DATE REGISTERED:</b>
GLOBAL FERRONICKEL HOLDINGS, INC.		5/3/1994
<b>BUSINESS/TRADE NAME:</b>		<b>FISCAL YEAR END:</b>
GLOBAL FERRONICKEL HOLDINGS, INC.		December 31
<b>SEC REGISTRATION NUMBER:</b>		<b>CORPORATE TAX IDENTIFICATION NUMBER (TIN)</b>
AS094-003992		003 871 592 000
<b>DATE OF ANNUAL MEETING PER BY-LAWS:</b>		<b>WEBSITE/URL ADDRESS:</b>
Last Wednesday of June		
<b>ACTUAL DATE OF ANNUAL MEETING:</b>		<b>E-MAIL ADDRESS:</b>
February 10, 2021		
<b>COMPLETE PRINCIPAL OFFICE ADDRESS:</b>		<b>FAX NUMBER:</b>
7/F Corporate Business Centre, 151 Paseo de Roxas Cor. Arnaiz St., Makati City		812 0833
<b>COMPLETE BUSINESS ADDRESS:</b>		<b>TELEPHONE NUMBER(S):</b>
7/F Corporate Business Centre, 151 Paseo de Roxas Cor. Arnaiz St., Makati City		519 7888
<b>NAME OF EXTERNAL AUDITOR &amp; ITS SIGNING PARTNER:</b>	<b>SEC ACCREDITATION NUMBER (if applicable):</b>	
SyCip Gorres Velayo & Co.	0085-A	
<b>PRIMARY PURPOSE/ACTIVITY/INDUSTRY PRESENTLY ENGAGED IN:</b>	<b>INDUSTRY CLASSIFICATION:</b>	<b>GEOGRAPHICAL CODE:</b>
Holding Company		

===== INTERCOMPANY AFFILIATIONS =====

PARENT COMPANY	SEC REGISTRATION NO.	ADDRESS
SUBSIDIARY/AFFILIATE	SEC REGISTRATION NO.	ADDRESS
PLATINUM GROUP METALS CORPORATION	110027	7/F Corporate Business Centre, 151 Paseo de Roxas Cor. Arnaiz St., Makati City

NOTE: USE ADDITIONAL SHEET IF NECESSARY

## GENERAL INFORMATION SHEET

## STOCK CORPORATION

===== PLEASE PRINT LEGIBLY =====

Corporate Name: GLOBAL FERRONICKEL HOLDINGS, INC.

**A. Is the Corporation a covered person under the Anti Money Laundering Act (AMLA), as amended? (Rep. Acts. 9160/9164/10167/10365)**☐ Yes ☒ No

Please check the appropriate box:

<b>1.</b> a. Banks b. Offshore Banking Units c. Quasi-Banks d. Trust Entities e. Non-Stock Savings and Loan Associations f. Pawnshops g. Foreign Exchange Dealers h. Money Changers i. Remittance Agents j. Electronic Money Issuers k. Financial Institutions which Under Special Laws are subject to Bangko Sentral ng Pilipinas' (BSP) supervision and/or regulation, including their subsidiaries and affiliates.	<b>4.</b> Jewelry dealers in precious metals, who, as a business, trade in precious metals
<b>2.</b> a. Insurance Companies b. Insurance Agents c. Insurance Brokers d. Professional Reinsurers e. Reinsurance Brokers <b>f. Holding Companies</b> g. Holding Company Systems h. Pre-need Companies i. Mutual Benefit Association j. All Other Persons and entities supervised and/or regulated by the Insurance Commission (IC)	<b>5.</b> Jewelry dealers in precious stones, who, as a business, trade in precious stone
<b>3.</b> a. Securities Dealers b. Securities Brokers c. Securities Salesman  d. Investment Houses e. Investment Agents and Consultants f. Trading Advisors g. Other entities managing Securities or rendering similar services h. Mutual Funds or Open-end Investment Companies i. Close-end Investment Companies j. Common Trust Funds or Issuers and other similar entities k. Transfer Companies and other similar entities l. Other entities administering or otherwise dealing in currency, commodities or financial derivatives based there on m. Entities administering or otherwise dealing in valuable objects n. Entities administering or otherwise dealing in cash Substitutes and other similar monetary instruments or property supervised and/or regulated by the Securities and Exchange Commission (SEC)	<b>6.</b> Company service providers which, as a business, provide any of the following services to third parties:
	a. acting as a formation agent of juridical persons b. acting as (or arranging for another person to act as) a director or corporate secretary of a company, a partner of a partnership, or a similar position in relation to other juridical persons  c. providing a registered office, business address or accommodation, correspondence or administrative address for a company, a partnership or any other legal person or arrangement  d. acting as (or arranging for another person to act as) a nominee shareholder for another person
	<b>7.</b> Persons who provide any of the following services:
	a. managing of client money, securities or other assets b. management of bank, savings or securities accounts c. organization of contributions for the creation, operation or management of companies  d. creation, operation or management of juridical persons or arrangements, and buying and selling business entities
	<b>8.</b> None of the above
	Describe nature of business:

**B. Has the Corporation complied with the requirements on Customer Due Diligence (CDD) or Know Your Customer (KYC), record-keeping, and submission of reports under the AMLA, as amended, since the last filing of its GIS?**☐ Yes ☒ No



# GENERAL INFORMATION SHEET

STOCK CORPORATION

===== PLEASE PRINT LEGIBLY =====

<b>CORPORATE NAME:</b> GLOBAL FERRONICKEL HOLDINGS, INC.							
<b>CAPITAL STRUCTURE</b>							
<b>AUTHORIZED CAPITAL STOCK</b>							
	<b>TYPE OF SHARES *</b>	<b>NUMBER OF SHARES</b>	<b>PAR/STATED VALUE</b>	<b>AMOUNT (PhP)</b> (No. of shares X Par/Stated Value)			
	Common	11,957,161,906	1.05	12,555,020,001.30			
<b>TOTAL</b>		<b>11,957,161,906</b>	<b>TOTAL P</b>	<b>12,555,020,001.30</b>			
<b>SUBSCRIBED CAPITAL</b>							
<b>FILIPINO</b>	<b>NO. OF STOCK-HOLDERS</b>	<b>TYPE OF SHARES *</b>	<b>NUMBER OF SHARES</b>	<b>NUMBER OF SHARES IN THE HANDS OF THE PUBLIC **</b>	<b>PAR/STATED VALUE</b>	<b>AMOUNT (PhP)</b>	<b>% OF OWNERSHIP</b>
		Common	4,315,161,320		1.05	4,530,919,386.00	68.96%
<b>TOTAL</b>		<b>4,315,161,320</b>	<b>TOTAL</b>	<b>TOTAL P</b>	<b>4,530,919,386.00</b>		<b>68.96%</b>
<b>FOREIGN (INDICATE BY NATIONALITY)</b>	<b>NO. OF STOCK-HOLDERS</b>	<b>TYPE OF SHARES *</b>	<b>NUMBER OF SHARES</b>	<b>NUMBER OF SHARES IN THE HANDS OF THE PUBLIC **</b>	<b>PAR/STATED VALUE</b>	<b>AMOUNT (PhP)</b>	<b>% OF OWNERSHIP</b>
		Common	1,757,195,831		1.05	1,845,055,622.55	31.04
<b>Percentage of Foreign Equity :</b>		<b>TOTAL</b>	<b>1,757,195,831</b>	<b>TOTAL</b>	<b>TOTAL P</b>	<b>1,845,055,622.55</b>	<b>31.04</b>
<b>6,072,357,151</b>		<b>TOTAL SUBSCRIBED</b>			<b>P</b>	<b>6,375,975,008.55</b>	<b>100.00%</b>
<b>PAID-UP CAPITAL</b>							
<b>FILIPINO</b>	<b>NO. OF STOCK-HOLDERS</b>	<b>TYPE OF SHARES *</b>	<b>NUMBER OF SHARES</b>	<b>PAR/STATED VALUE</b>	<b>AMOUNT (PhP)</b>	<b>% OF OWNERSHIP</b>	
		Common	4,315,161,320	1.05	4,396,954,832.55	68.96%	
<b>TOTAL</b>		<b>4,315,161,320</b>	<b>TOTAL P</b>		<b>4,396,954,832.55</b>	<b>68.96%</b>	
<b>FOREIGN</b>	<b>NO. OF</b>	<b>TYPE OF SHARES *</b>	<b>NUMBER OF SHARES</b>	<b>PAR/STATED VALUE</b>	<b>AMOUNT (PhP)</b>	<b>% OF</b>	
		Common	1,757,195,831	1.05	1,979,020,176.00	31.04	
<b>31.04 %</b>		<b>TOTAL</b>	<b>1,757,195,831</b>	<b>TOTAL P</b>		<b>1,979,020,176.00</b>	<b>31.04</b>
				<b>TOTAL PAID-UP P</b>	<b>6,375,975,008.55</b>	<b>100.00%</b>	

NOTE: USE ADDITIONAL SHEET IF NECESSARY

\* Common, Preferred or other classification

\*\* Other than Directors, Officers, Shareholders owning 10% of outstanding shares.

# GENERAL INFORMATION SHEET

STOCK CORPORATION

===== PLEASE PRINT LEGIBLY =====

<b>CORPORATE NAME:</b> GLOBAL FERRONICKEL HOLDINGS, INC.								
<b>DIRECTORS / OFFICERS</b>								
RESIDENTIAL ADDRESS	NATIONALITY	INC'R	BOARD	GENDER	STOCK HOLDER	OFFICER	EXEC. COMM	TAX IDENTIFICATION NUMBER
1. Joseph C. Sy Unit 48-G, San Lorenzo Tower, The Residences, Makati City	Filipino	N	C	M	Y	Chairman	Y	189-795-219
2. Dante R. Bravo Lot 47 Blk 2 Ciudad del Mejia Executive Village, Pasig City	Filipino	N	M	M	Y	President	Y	242-508-759
3. Mary Belle D. Bituin 2 Dapitan Bay Drive Sucat, Parañaque City	Filipino	N	M	F	Y	Treasurer/ SVP for Finance/HR /Admin	Y	102 096 952 000
4. Gu Zhi Fang Unit 48-G, San Lorenzo Tower, The Residences, Esperanza St., Makati City	Chinese	N	M	F	Y			306 524 019 000
5. Noel B. Lazaro Berlin St., Capitol Park Homes, Old Balara, Quezon City	Filipino	N	M	M	Y	SVP for Legal & Regulatory Affairs		182 029 390 000
6. Dennis Allan T. Ang (R) 908 Quezon Ave., Quezon City	Filipino	N	M	M	Y			903 357 647 000
7. Francis C. Chua Suite 404 CLMC Building, 259 EDSA, Greenhills	Filipino	N	M	M	Y			118 536 712 000
8. Jennifer Cong 3165 Reposo St., Sta. Mesa, Manila	Taiwanese	N	M	F	Y			265 242 058 000
9. Sergio R. Ortiz-Luis, Jr. 151 3rd St., 10th Ave., Riverside Village, Sta. Lucia, Pasig	Filipino	N	I	M	Y		Y	107 846 762 000
10. Edgardo G. Lacson Suites 212-217 Cityland III, V.A Rufino St., Legaspi Village, Makati City	Filipino	N	I	M	Y		Y	127 418 012 000
11. Carlo A. Matilac San Pablo, Tubod, Surigao Del Norte	Filipino	N		M	Y	SVP for Operations		180 045 568 000
12. Eveart Grace P. Claro 8163-D Yabut Ave., Guadalupe Nuevo, Makati City	Filipino	N		F		Corporate Secretary		933 345 567 000
13. Mario A. Nevado 73 Brazil St., Loyola Grand Villas, Brgy. Tumana, Marikina City	Filipino	N		M	Y	Compliance Officer		109 938 352 000
14.								
15.								
<b>INSTRUCTION:</b> FOR SEX COLUMN, PUT "F" FOR FEMALE, "M" FOR MALE. FOR BOARD COLUMN, PUT "C" FOR CHAIRMAN, "M" FOR MEMBER, "I" FOR INDEPENDENT DIRECTOR. FOR INC'R COLUMN, PUT "Y" IF AN INCORPORATOR, "N" IF NOT. FOR STOCKHOLDER COLUMN, PUT "Y" IF A STOCKHOLDER, "N" IF NOT. FOR OFFICER COLUMN, INDICATE PARTICULAR POSITION IF AN OFFICER, FROM VP UP INCLUDING THE POSITION OF THE TREASURER, SECRETARY, COMPLIANCE OFFICER AND/OR ASSOCIATED PERSON. FOR EXECUTIVE COMMITTEE, INDICATE "C" IF MEMBER OF THE COMPENSATION COMMITTEE; "A" FOR AUDIT COMMITTEE; "N" FOR NOMINATION AND ELECTION COMMITTEE. ADDITIONALLY WRITE "C" AFTER SLASH IF CHAIRMAN AND "M" IF MEMBER.								

**GENERAL INFORMATION SHEET**  
**STOCK CORPORATION**

<b>===== PLEASE PRINT LEGIBLY =====</b>						
<b>CORPORATE NAME:</b>		GLOBAL FERRONICKEL HOLDINGS, INC.				
<b>TOTAL NUMBER OF STOCKHOLDERS:</b>		1,718	<b>NO. OF STOCKHOLDERS WITH 100 OR MORE SHARES EACH:</b>			
<b>TOTAL ASSETS BASED ON LATEST AUDITED FINANCIAL STATEMENTS:</b>						
<b>STOCKHOLDER'S INFORMATION</b>						
NAME, NATIONALITY AND CURRENT RESIDENTIAL ADDRESS	SHARES SUBSCRIBED				AMOUNT PAID (PhP)	TAX IDENTIFICATION NUMBER
	TYPE	NUMBER	MOUNT (PhP)	% OF OWNER- SHIP		
1. PCD Nominee Corp.  Filipino  7/F Corporate Business Center, 151 Paseo de Roxas Cor. Arnaiz Ave., Makati City	C	3,068,308,045	3,221,723,447.25	57.49%	3,221,723,447.25	004 774 849 000
	<b>TOTAL</b>	<b>3,068,308,045</b>	<b>3,221,723,447.25</b>			
2. PCD Nominee Corp.  Non-Filipino  37/F Enterprise Center, Makati City	C	1,619,514,854	1,700,490,596.70	30.34%	1,700,490,596.70	004 774 849 000
	<b>TOTAL</b>	<b>1,619,514,854</b>	<b>1,700,490,596.70</b>			
3. Regulus Best Nickel Holdings, Inc.  Filipino  7/F Corporate Business Center, 151 Paseo de Roxas Cor. Arnaiz Ave., Makati City	C	523,154,668	549,312,401.40	9.80%	549,312,401.40	008 812 597 000
	<b>TOTAL</b>	<b>523,154,668</b>	<b>549,312,401.40</b>			
4. Blue Eagle Elite Ventures, Inc.  Filipino  7/F Corporate Business Center, 151 Paseo de Roxas Cor. Arnaiz Ave., Makati City	C	348,769,779	366,208,267.95	6.53%	366,208,267.95	008 812 459 000
	<b>TOTAL</b>	<b>348,769,779</b>	<b>366,208,267.95</b>			
5. Sohoton Synergy, Inc.  Filipino  7/F Corporate Business Center, 151 Paseo de Roxas Cor. Arnaiz Ave., Makati City	C	233,156,767	244,814,605.35	4.37%	244,814,605.35	008 812 467 000
	<b>TOTAL</b>	<b>233,156,767</b>	<b>244,814,605.35</b>			
6. Huatai Investment Holding Pty. Ltd.  Australian Unit 1, 116 South Parade, Auburn, North Southwales	C	137,316,347	144,182,164.35	2.57%	144,182,164.35	
	<b>TOTAL</b>	<b>137,316,347</b>	<b>144,182,164.35</b>			
7. Red Lion Fortune Group, Inc.  Filipino  7/F Corporate Business Center, 151 Paseo de Roxas Cor. Arnaiz Ave., Makati City	C	57,588,866	60,468,309.30	1.08%	60,468,309.30	008 813 872 000
	<b>TOTAL</b>	<b>57,588,866</b>	<b>60,468,309.30</b>			
<b>TOTAL AMOUNT OF SUBSCRIBED CAPITAL</b>			6,287,199,792.30		<b>6,287,199,792.30</b>	
<b>TOTAL AMOUNT OF PAID-UP CAPITAL</b>						

INSTRUCTION: SPECIFY THE TOP 20 STOCKHOLDERS AND INDICATE THE REST AS OTHERS
<i>Note: For PDTC Nominee included in the list, please indicate further the beneficial owners owning more than 5% of any class of the company's voting securities. Attach separate sheet, if necessary.</i>



AMENDED GENERAL INFORMATION SHEET  
STOCK CORPORATION

===== PLEASE PRINT LEGIBLY =====

<b>CORPORATE NAME:</b>		GLOBAL FERRONICKEL HOLDINGS, INC.				
<b>TOTAL NUMBER OF STOCKHOLDERS:</b>		1,718		<b>NO. OF STOCKHOLDERS WITH 100 OR MORE SHARES EACH:</b>		
<b>TOTAL ASSETS BASED ON LATEST AUDITED FS:</b>						
<b>STOCKHOLDER'S INFORMATION</b>						
NAME, NATIONALITY AND CURRENT RESIDENTIAL ADDRESS	SHARES SUBSCRIBED				AMOUNT PAID (PhP)	TAX IDENTIFICATION NUMBER
	TYPE	NUMBER	AMOUNT (PhP)	% OF OWNER-SHIP		
8. Joseph C. Sy Filipino Unit 48-G, San Lorenzo Tower, The Residences, Makati City	C	5,000,000	5,250,000.00	0.09%	5,250,000.00	189 795 219 000
	<b>TOTAL</b>	<b>5,000,000</b>	<b>5,250,000.00</b>			
9. Dante R. Bravo Filipino Lot 47 Blk. 2 Ciudad del Mejia Executive Village, Pasig City	C	3,261,053	3,424,105.65	0.06%	3,424,105.65	242 508 759 000
	<b>TOTAL</b>	<b>3,261,053</b>	<b>3,424,105.65</b>			
10. Orion-Square Capital, Inc. A/C-0459 Filipino 18/F Chatham House Condominium, Makati City	C	2,283,106	2,397,261.30	0.04%	2,397,261.30	171 516 000 000
	<b>TOTAL</b>	<b>2,283,106</b>	<b>2,397,261.30</b>			
11. Carlo A. Matilac Filipino San Pablo, Tubod, Surigao Del Norte	C	1,733,226	1,819,887.30	0.03%	1,819,887.30	180 045 568 000
	<b>TOTAL</b>	<b>1,733,226</b>	<b>1,819,887.30</b>			
12. Mary Belle D. Bituin Filipino 2 Dapitan Bay Drive Sucat, Parañaque City	C	1,630,523	1,712,049.15	0.03%	1,712,049.15	102 096 952 000
	<b>TOTAL</b>	<b>1,630,523</b>	<b>1,712,049.15</b>			
13. Squire Securities, Inc. Filipino Rm. 314 Makati Stock Exchange Bldg., Ayala Ave., Makati City	C	867,338	910,704.90	0.02%	910,704.90	000 172 816 000
	<b>TOTAL</b>	<b>867,338</b>	<b>910,704.90</b>			
14. Geary L. Barias Filipino 2 Cider St., Cor. Dona Justina St., Filinvest 2, Batasan Hills, Quezon City	C	785,860	825,153.00	0.01%	825,153.00	154 544 915 000
	<b>TOTAL</b>	<b>785,860</b>	<b>825,153.00</b>			
<b>TOTAL AMOUNT OF SUBSCRIBED CAPITAL</b>			<b>6,303,538,953.60</b>		<b>6,303,538,953.60</b>	
<b>TOTAL AMOUNT OF PAID-UP CAPITAL</b>						
INSTRUCTION: SPECIFY THE TOP 20 STOCKHOLDERS AND INDICATE THE REST AS OTHERS						
<i>Note: For PDTC Nominee included in the list, please indicate further the beneficial owners owning more than 5% of any class of the company's voting securities. Attach separate sheet, if necessary.</i>						

**AMENDED GENERAL INFORMATION SHEET**  
STOCK CORPORATION

===== PLEASE PRINT LEGIBLY =====

<b>CORPORATE NAME:</b> GLOBAL FERRONICKEL HOLDINGS, INC.						
<b>TOTAL NUMBER OF STOCKHOLDERS:</b> 1,718			<b>NO. OF STOCKHOLDERS WITH 100 OR MORE SHARES EACH:</b>			
<b>TOTAL ASSETS BASED ON LATEST AUDITED FS:</b>						
<b>STOCKHOLDER'S INFORMATION</b>						
NAME, NATIONALITY AND CURRENT RESIDENTIAL ADDRESS	SHARES SUBSCRIBED				AMOUNT PAID (PhP)	TAX IDENTIFICATION NUMBER
	TYPE	NUMBER	AMOUNT (PhP)	% OF OWNER-SHIP		
15. Corsino L. Odtojan  Filipino  151 Paseo de Roxas Cor. Arnaiz St., San Lorenzo, Makati City	C	785,860	825,153.00	0.01%	825,153.00	180 842 604 000
	<b>TOTAL</b>	<b>785,860</b>	<b>825,153.00</b>			
16. Mario A. Nevado  Filipino  73 Brazil St., Loyola Grand Villas, Brgy. Tumana, Marikina City	C	705,852	741,144.60	0.01%	741,144.60	109 938 352 000
	<b>TOTAL</b>	<b>705,852</b>	<b>741,144.60</b>			
17. Amor A. Quintero  Filipino  151 Paseo de Roxas Cor. Arnaiz St., San Lorenzo, Makati City	C	678,479	712,402.95	0.01%	712,402.95	125 523 357 000
	<b>TOTAL</b>	<b>678,479</b>	<b>712,402.95</b>			
18. Marilou C. Celzo  Filipino  151 Paseo de Roxas Cor. Arnaiz St., San Lorenzo, Makati City	C	678,479	712,402.95	0.01%	712,402.95	121 251 931 000
	<b>TOTAL</b>	<b>678,479</b>	<b>712,402.95</b>			
19. Emmanuel Felipe E. Fang  Filipino  Villa Corito Subd., Washington, Surigao City, Surigao del Norte	C	575,779	604,567.95	0.01%	604,567.95	127 631 837 000
	<b>TOTAL</b>	<b>575,779</b>	<b>604,567.95</b>			
20. Hilario A. Sale, Jr.  Filipino  P-8 Sta. Mario, Tabon, Bislig, Surigao del Sur	C	575,779	604,567.95	0.01%	604,567.95	138 875 500 000
	<b>TOTAL</b>	<b>575,779</b>	<b>604,567.95</b>			
21. OTHERS (Indicate the number of the remaining stockholders) 1,698	C	64,986,491	68,235,815.55		68,235,815.55	
	<b>TOTAL</b>	<b>65,346,786</b>	<b>68,235,815.55</b>			
<b>TOTAL AMOUNT OF SUBSCRIBED CAPITAL</b>			6,375,975,008.55	100.00%	<b>6,375,975,008.55</b>	
<b>TOTAL AMOUNT OF PAID-UP CAPITAL</b>						
INSTRUCTION: SPECIFY THE TOP 20 STOCKHOLDERS AND INDICATE THE REST AS OTHERS						
Note: For PDTC Nominee included in the list, please indicate further the beneficial owners owning more than 5% of any class of the company's voting securities. Attach separate sheet, if necessary.						

# AMENDED GENERAL INFORMATION SHEET

## STOCK CORPORATION

PLEASE PRINT LEGIBLY			
CORPORATE NAME: GLOBAL FERRONICKEL HOLDINGS, INC.			
1. INVESTMENT OF CORPORATE FUNDS IN ANOTHER CORPORATION	AMOUNT (PhP)	DATE OF BOARD RESOLUTION	
1.1 STOCKS			
1.2 BONDS/COMMERCIAL PAPER (Issued by Private Corporations)			
1.3 LOANS/ CREDITS/ ADVANCES			
1.4 GOVERNMENT TREASURY BILLS			
1.5 OTHERS			
2. INVESTMENT OF CORPORATE FUNDS IN ACTIVITIES UNDER ITS SECONDARY PURPOSES (PLEASE SPECIFY:)	DATE OF BOARD RESOLUTION	DATE OF STOCKHOLDERS RATIFICATION	
3. TREASURY SHARES: The buyback program was approved by the Board of Directors on June 29, 2016. For the period July 25, 2016 to March 10, 2021, the buyback totaled to 862,766,414 common shares. Total Treasury Shares as of March 10, 2021 is 735,182,322.	NO. OF SHARES	% AS TO THE TOTAL NO. OF SHARES ISSUED	
	735,182,322	12	
4. UNRESTRICTED/UNAPPROPRIATED RETAINED EARNINGS AS OF END OF LAST FISCAL YEAR			
5. DIVIDENDS DECLARED DURING THE IMMEDIATELY PRECEDING YEAR:			
TYPE OF DIVIDEND	AMOUNT (PhP)	DATE DECLARED	
5.1 CASH			
5.2 STOCK			
5.3 PROPERTY payment date of Nov. 22, 2019	850,433,901.67	3/14/2018	
TOTAL	PHP 850,433,901.67		
6. ADDITIONAL SHARES ISSUED DURING THE PERIOD:			
DATE	NO. OF SHARES	AMOUNT	
SECONDARY LICENSE/REGISTRATION WITH SEC AND OTHER GOV'T AGENCY:			
NAME OF AGENCY:	SEC	B S P	I C
TYPE OF LICENSE/REGN.			
DATE ISSUED:			
DATE STARTED OPERATIONS:			
TOTAL ANNUAL COMPENSATION OF DIRECTORS DURING THE PRECEDING FISCAL YEAR (in PhP)	TOTAL NO. OF OFFICERS	TOTAL NO. OF RANK & FILE EMPLOYEES	TOTAL MANPOWER COMPLEMENT

NOTE: USE ADDITIONAL SHEET IF NECESSARY



I, EVEART GRACE P. CLARO, Corporate Secretary of GLOBAL FERRONICKEL HOLDINGS, INC. declare under penalty of perjury that all matters set forth in this GIS have been made in good faith, duly verified by me and to the best of my knowledge and belief are true and correct.

I hereby attest that all the information in this GIS are being submitted in compliance with the rules and regulations of the Securities and Exchange Commission (SEC) the collection, processing, storage and sharing of said information being necessary to carry out the functions of public authority for the performance of the constitutionally and statutorily mandated functions of the SEC as a regulatory agency.

I further attest that I have been authorized by the Board of Directors/Trustees to file this GIS with the SEC.

I understand that the Commission may place the corporation under delinquent status for failure to submit the reportorial requirements three (3) times, consecutively or intermittently, within a period of five (5) years (*Section 177, RA No. 11232*).

Done this \_\_\_\_\_ day of MAR 17, 2021 in MAKATI CITY.



EVEART GRACE P. CLARO,  
(Signature over printed name)

MAKATI CITY

MAR 17 2021

**SUBSCRIBED AND SWORN TO** before me in \_\_\_\_\_ on \_\_\_\_\_ by affiant who personally appeared before me and exhibited to me his/her competent evidence of identity consisting of TIN: 933-345-567 issued at \_\_\_\_\_ on \_\_\_\_\_.

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Book No 199  
Series of 2021

ATTY. JOHN DOMINGO A. PONCE, JR.  
NOTARY PUBLIC  
APPOINTMENT No. M-92 / MAKATI CITY  
NOTARY PUBLIC  
(per Supreme Court En Banc Resolution dated December 1, 2020)  
PTR No. 8530257 / 01-04-2021 / MAKATI CITY  
IBP No. 142244 / 01-04-2021 / RIZAL  
MCLE COMPLIANCE No. VI-C027026 / 05-28-2019  
ROLL NO. 36432 / TIN No. 106-099-102-000  
Unit G-14 Makati Executive Tower 3  
Sen. Gil Puyat Avenue, Pio del Pilar,  
Makati City, Metro Manila

# BENEFICIAL OWNERSHIP DECLARATION

SEC REGISTRATION NUMBER: 003 871 592 000  
CORPORATE NAME: GLOBAL FERRONICKEL HOLDINGS, INC.

## Instructions:

1. Identify the Beneficial Owner/s of the corporation as described in the Categories of Beneficial Ownership in items A to I below. List down as many as you can identify. You may use an additional sheet if necessary.
2. Fill in the required information on the beneficial owner in the fields provided for.
3. In the "Category of Beneficial Ownership" column, indicate the letter(s) corresponding thereto. In the event that the person identified as beneficial owner falls under several categories, indicate all the letters corresponding to such categories.
4. If the category is under letter "I", indicate the position held (i.e., Director/Trustee, President, Chief Executive Officer, Chief Operating Officer, Chief Financial Officer, etc.).
5. Do not leave any item blank. Write "N/A" if the information required is not applicable or "NONE" if non-existent.

"Beneficial Owner" refers to any natural person(s) who ultimately own(s) or control(s) or exercise(s) ultimate effective control over the corporation. This definition covers the natural person(s) who actually own or control the corporation as distinguished from the legal owners. Such beneficial ownership may be determined on the basis of the following:

## Category

## Description

- A Natural person(s) owning, directly or indirectly or through a chain of ownership, at least twenty-five percent (25%) of the voting rights, voting shares or capital of the reporting corporation.
- B Natural person(s) who exercise control over the reporting corporation, alone or together with others, through any contract, understanding, relationship, intermediary or tiered entity.
- C Natural person(s) having the ability to elect a majority of the board of directors/trustees, or any similar body, of the corporation.
- D Natural person(s) having the ability to exert a dominant influence over the management or policies of the corporation.
- E Natural person(s) whose directions, instructions, or wishes in conducting the affairs of the corporation are carried out by majority of the members of the board of directors of such corporation who are accustomed or under an obligation to act in accordance with such person's directions, instructions or wishes.
- F Natural person(s) acting as stewards of the properties of corporations, where such properties are under the care or administration of said natural person(s).
- G Natural person(s) who actually own or control the reporting corporation through nominee shareholders or nominee directors acting for or on behalf of such natural persons.
- H Natural person(s) ultimately owning or controlling or exercising ultimate effective control over the corporation through other means not falling under any of the foregoing categories.
- I Natural person(s) exercising control through positions held within a corporation (i.e., responsible for strategic decisions that fundamentally affect the business practices or general direction of the corporation such as the members of the board of directors or trustees or similar body within the corporation; or exercising executive control over the daily or regular affairs of the corporation through a senior management position). This category is only applicable in exceptional cases where no natural person is identifiable who ultimately owns or exerts control over the corporation, the reporting corporation having exhausted all reasonable means of identification and provided there are no grounds for suspicion.

COMPLETE NAME (Surname, Given Name, Middle Name, Name Extension (i.e., Jr., Sr., III))	SPECIFIC RESIDENTIAL ADDRESS	NATIONALITY	DATE OF BIRTH	TAX IDENTIFICATION NO.	% OF OWNERSHIP <sup>1</sup> / % OF VOTING RIGHTS <sup>2</sup>	TYPE OF BENEFICIAL OWNER <sup>3</sup> Direct (D) or Indirect (I)	CATEGORY OF BENEFICIAL OWNERSHIP
Sy, Joseph C.	Unit 48-G, San Lorenzo Tower, The Residences, Makati City	Filipino	10/10/1966	189 795 219 00	0%	I	I (Substantial Stockholder)

Note: This page is not for uploading on the SEC iView.

- 1 For Stock Corporations.
- 2 For Non-Stock Corporations.
- 3 For Stock Corporations.